

05-01-01

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): George Robert Hood  
 Docket: 9511  
 Title: SHAREHOLDER VALUE ADD FOR FINANCIAL PROCESSING IN A RELATIONAL DATABASE MANAGEMENT SYSTEM

jc997 U.S. PTO  
 09/845851  
 04/30/01

## CERTIFICATE OF MAILING UNDER 37 CFR 1.10

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By: *Suzie McCleave*  
 Name: Suzie McCleave

## BOX PATENT APPLICATION

Commissioner for Patents  
 Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate Of Mailing Under 37 CFR 1.10.
- ☒ **REQUEST FOR NON-PUBLICATION.**
- ☒ Continuation-in-Part Patent Application: Spec. 17 pgs; 6 claims; Abstract 1 pg(s).  
The fee has been calculated as shown below in the "Claims as Filed" table.
- ☒ 3 sheets of Drawings.
- ☒ A signed Declaration and Power of Attorney.
- ☒ Assignment of the invention to NCR Corporation, Recordation Form Cover Sheet.
- ☒ A charge to Deposit Account in the amount of \$710.00 to cover the Filing Fee.
- ☒ A charge to Deposit Account in the amount of \$40.00 to cover the Assignment Recordation Fee.
- ☒ Return postcard.

## CLAIMS AS FILED

Number of Claims Filed	In Excess of:	Number Extra	Rate	Fee
<b>Basic Filing Fee</b>				\$710.00
<b>Total Claims</b>				
6	20	0	x \$18.00 =	\$0.00
<b>Independent Claims</b>				
3	3	0	x \$80.00 =	\$0.00
MULTIPLE DEPENDENT CLAIM FEE				\$0.00
TOTAL FILING FEE				\$710.00

Please charge all fees to Deposit Account No. 50-1673 of NCR Corporation (the assignee of the present application).  
 A duplicate of this sheet is enclosed.

**GATES & COOPER LLP**

Howard Hughes Center  
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 Los Angeles, CA 90045  
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By: *George H. Gates*  
 Name: George H. Gates  
 Reg. No.: 33,500  
 Initials: GHG/sjm

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**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor George Robert Hood

Title SHAREHOLDER VALUE ADD FOR  
FINANCIAL...SYSTEM

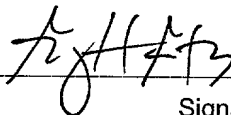
Atty Docket Number 9511

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

4/30/01

Date



Signature

George H. Gates

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.